

## CERTIFICATE



## HARIYANA SHIP BREAKERS LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS REPORT FOR THE PERIOD : **01-01-2018 TO 31-03-2018** 

| SRL.NO. | NATURE OF REQUESTS/COMPLAINTS                                                                       | OPENING<br>BALANCE | STOCK<br>EXCHANGE | SEBI | OTHERS | TOTAL<br>RECEIVED | REDRESSED | PENDING |
|---------|-----------------------------------------------------------------------------------------------------|--------------------|-------------------|------|--------|-------------------|-----------|---------|
| 1       | DELAY IN TRANSFER OF SHARES.                                                                        | _                  | _                 | _    | _      | -                 | -         | -       |
| 2       | NON-RECEIPT OF SHARES/ DIVIDENDS/<br>RIGHTS/ BONUS SHARES.                                          | -                  | -                 | _    | -      | -                 | -         | -       |
| 3       | DELAY/ NON-RECEIPTS IN ISSUE OF<br>DUPLICATE SHARES.                                                | -                  | _                 | _    | _      | -                 | _         | -       |
| 4       | DELAY/ NON RECEIPT OF ANNUAL REPORTS.                                                               | _                  | -                 | _    | _      | _                 | -         | -       |
| 5       | DELAY/ NON-RECEIPT OF REDEMPTION<br>AMOUNT OF DEBENTURES.                                           | -                  | -                 | -    | _      | -                 | _         | -       |
| 6       | DELAY/ NON-RECEIPT OF INTEREST ON<br>DEBENTURES.                                                    | -                  | -                 | -    | _      | -                 | _         | -       |
| 7       | DELAY/ NON-CREDIT OF SHARES IN THE<br>ACCOUNT BY THE BROKER.                                        | -                  | -                 | _    | _      | -                 | _         | -       |
| 8       | DELAY/ NON-PAYMENT OF SALE PROCEEDS<br>BY THE BROKER ETC.                                           | _                  | -                 | _    | _      | -                 | _         | -       |
| 9       | MANIPULATION IN THE ACCOUTS<br>STATEMENTS.                                                          | -                  | -                 | _    | _      | -                 | _         | -       |
| 10      | UNAUTHORIZED TRADES AND<br>UNAUTHORIZED MOVEMENTS OF SHARES<br>AND FUNDS FROM THE CLIENT' ACCOUNTS. | _                  | _                 | -    | _      | _                 | _         | -       |
| 11      | DABBA TRADING/ CHURNING ETC. IN<br>CLIENTS' ACCOUNTS.                                               | _                  | -                 | -    | _      | _                 | _         | -       |
| 12      | DELAY/ NON-UPDATING OF CLIENTS'<br>INFORMATION IN RECORDS.                                          | _                  | _                 | -    | _      | _                 | _         | -       |
|         | NIL COMPLAINT RECEIVED                                                                              | 0                  | 0                 | 0    | 0      | 0                 | 0         | 0       |

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)